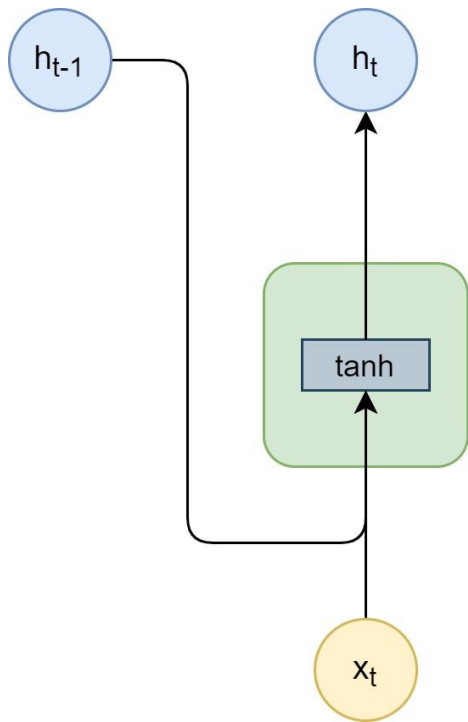


# HW3P1 Bootcamp

RNN, GRU, CTC, and Greedy/Beam Search  
(Fall 2022)

Aparajith Srinivasan

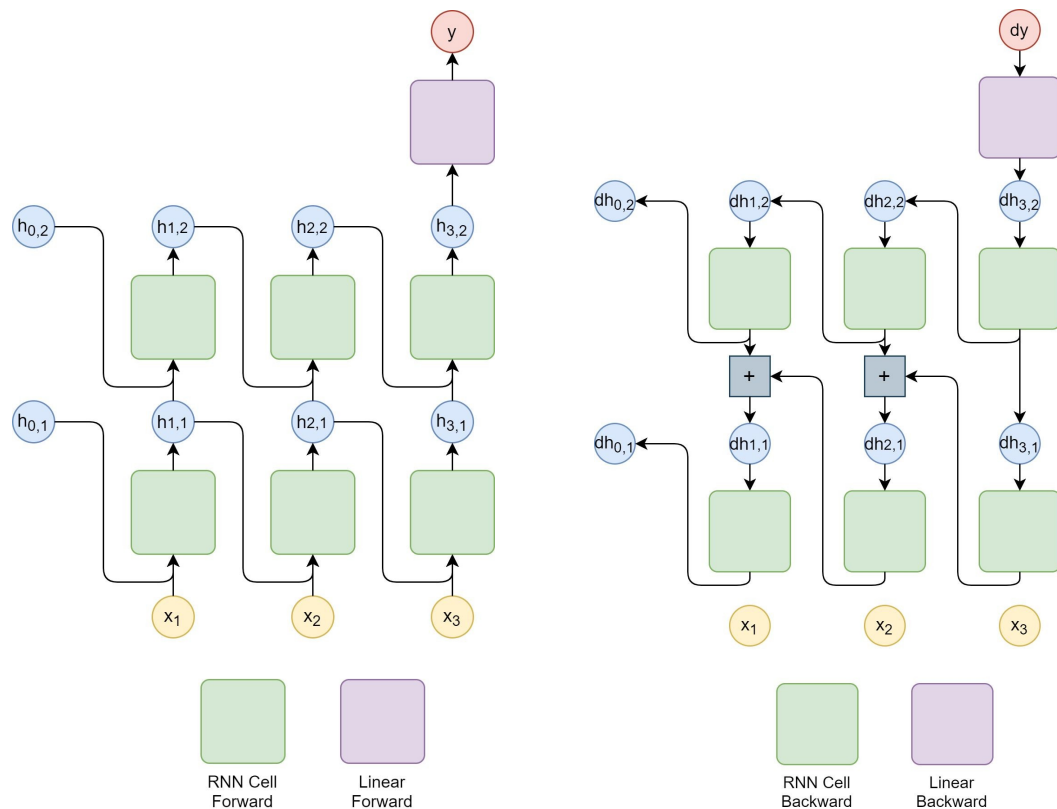
# RNN Cell Forward / Backward



$$h_t = \tanh(W_{ih}x_t + b_{ih} + W_{hh}h_{t-1} + b_{hh})$$

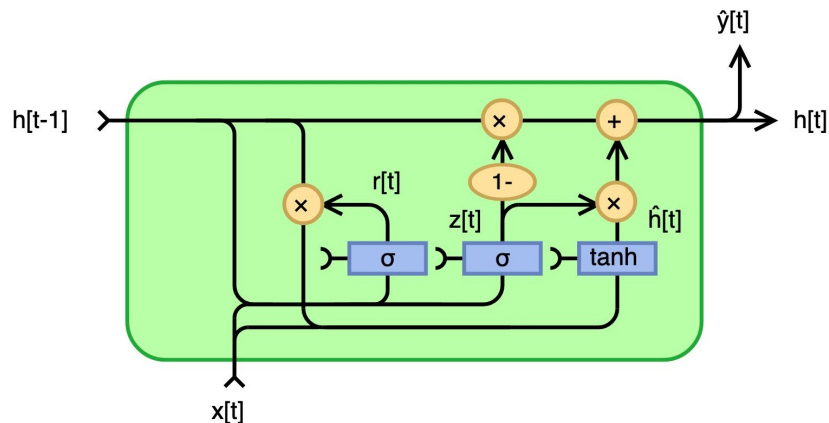
Tip: Very similar to how you did ***linear.py*** in hw1p1.

# RNN Phoneme Classifier



- Forward is straight forward (XD)
- Backward is tricky
  - 2 diagrams in the write up for understanding the data flow
  - Then follow the pseudocode exactly

# GRU Cell Forward/Backward



$$\mathbf{r}_t = \sigma(\mathbf{W}_{ir}\mathbf{x}_t + \mathbf{b}_{ir} + \mathbf{W}_{hr}\mathbf{h}_{t-1} + \mathbf{b}_{hr})$$

$$\mathbf{z}_t = \sigma(\mathbf{W}_{iz}\mathbf{x}_t + \mathbf{b}_{iz} + \mathbf{W}_{hz}\mathbf{h}_{t-1} + \mathbf{b}_{hz})$$

$$\mathbf{n}_t = \tanh(\mathbf{W}_{in}\mathbf{x}_t + \mathbf{b}_{in} + \mathbf{r}_t \otimes (\mathbf{W}_{hn}\mathbf{h}_{t-1} + \mathbf{b}_{hn}))$$

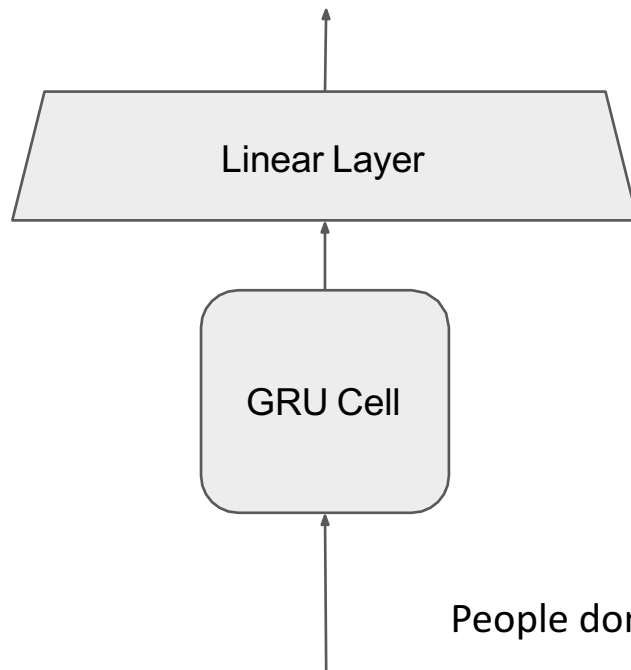
$$\mathbf{h}_t = (1 - \mathbf{z}_t) \otimes \mathbf{n}_t + \mathbf{z}_t \otimes \mathbf{h}_{t-1}$$

<https://colah.github.io/posts/2015-08-Backprop>

# GRU Cell Forward / Backward

- GRU backward be the longest question in HW3P1
- Tips:
  - Modify the ***test\_gru.py*** code accordingly – all **dWs** and **dbhs** should correct to make sure that your **dx** and **dh** are correct
  - Can try to decompose eqns in forward (That's how I did :) )
    - $A = \text{Tanh}(W_x * x + b_x + W_h * h + b_h)$ 
      - $Z1 = W_x * x + b_x$
      - $Z2 = W_h * h + b_h$
      - $Z = Z1 + Z2$
      - $A = \text{Tanh}(Z)$
    - Backward is easy now. Need to compute the gradients in this order. Given dA (actually dLdA – ignoring for simplicity)
      - $dZ \rightarrow dZ1, dZ2 \rightarrow dW_h, dh, db_h \rightarrow \dots$

# GRU Inference



People don't usually face issues in this 😊

# CTC based questions

- Lecture slides have everything needed to complete all the CTC sections and also decoding

# CTC based questions

- We have given example questions for you to understand the math behind it

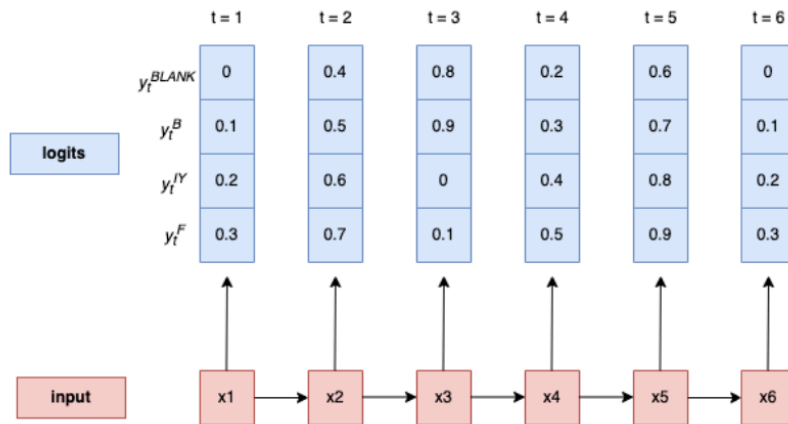


Figure 12: An overall CTC setup example



# CTC based questions

- We have given example questions for you to understand the math behind it

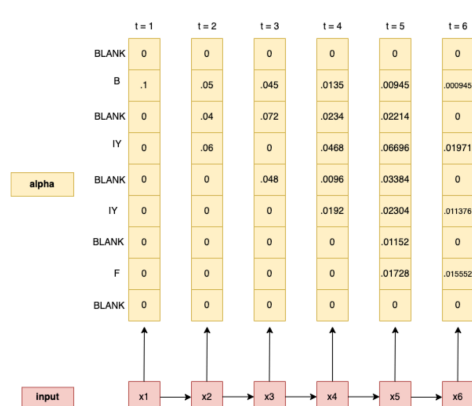


Figure 15: Forward Algorithm

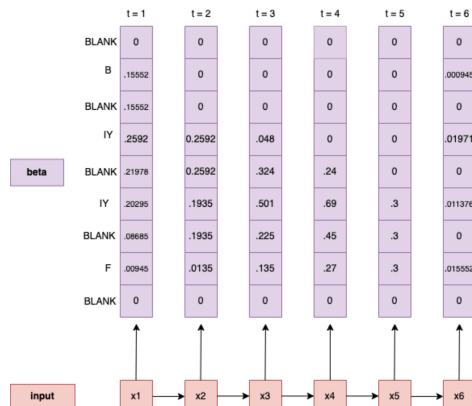


Figure 16: Backward Algorithm

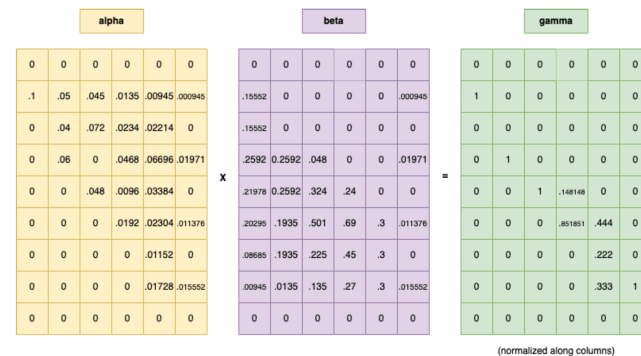


Figure 17: Posterior Probability

# Greedy Search

- Taking the most probably output at each time step

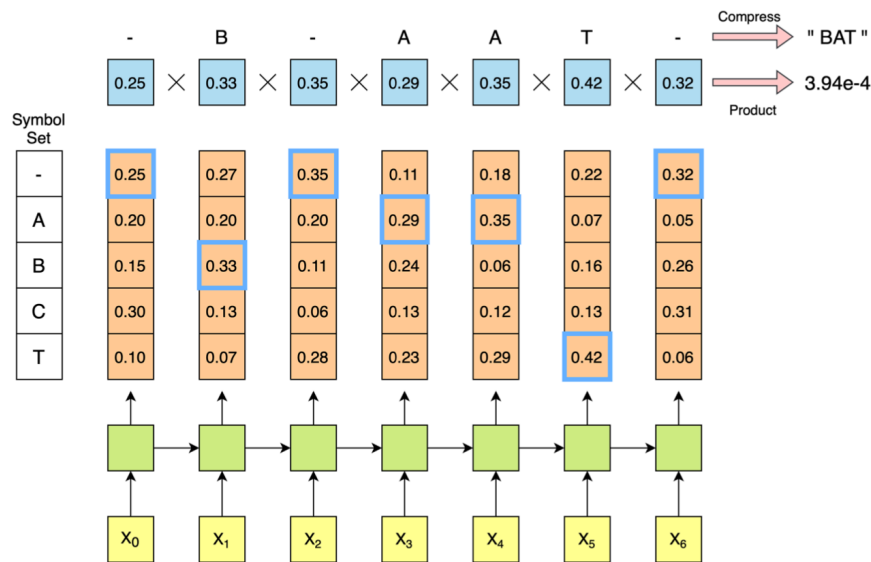
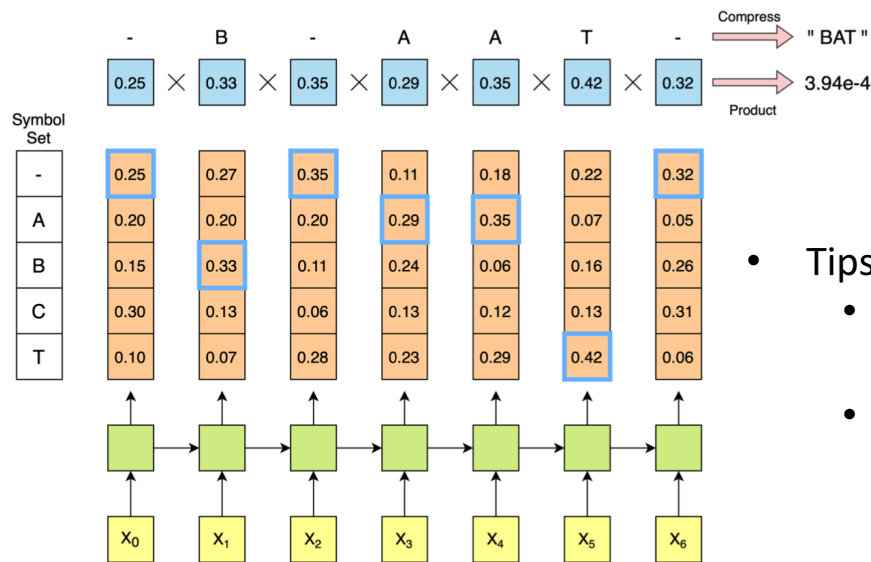


Figure 18: Greedy Search

# Greedy Search

- Taking the most probably output at each time step



- Tips

- Write your compress function separately
- Can complete without a for loop but a for loop wont cause autolab to time out 😊

Figure 18: Greedy Search

# Beam Search

- Another hard question in this part
- Tips to complete this question fast
  - Understand beam search from the lecture videos and slides
  - Beware of the definition of **set()** (python { }) and **list()** (python [ ]) from the code given in lecture slides. There is a difference in the python implementation
  - Complete each function **InitializePaths**, **Prune**, **ExtendWithBlank**, **ExtendWithSymbol**, **MergeIdenticalPaths** individually and then check your outputs with the flow chart given in the write up

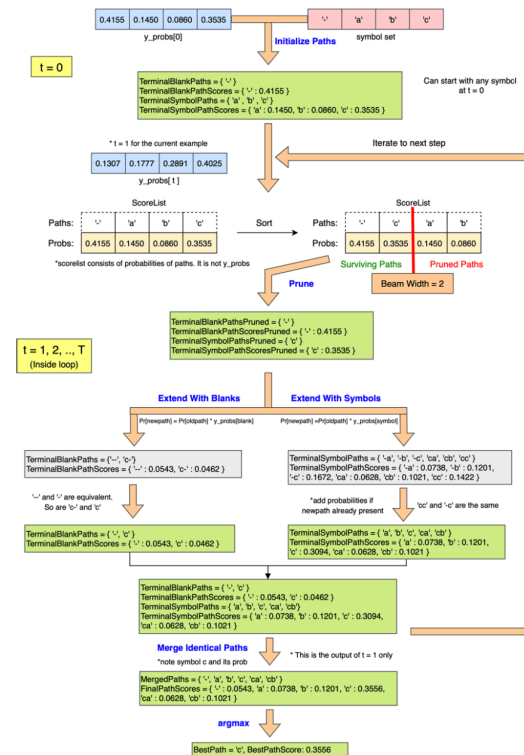


Figure 19: Beam Search

# Beam Search

## BEAM SEARCH

```
Global PathScore = [], BlankPathScore = []
```

```
# First time instant: Initialize paths with each of the symbols,
# including blank, using score at time t=1
NewPathsWithTerminalBlank, NewPathsWithTerminalSymbol, NewBlankPathScore, NewPathScore =
    InitializePaths(SymbolSet, y[:], 0)
```

```
# Subsequent time steps
```

```
for t = 1:T
```

```
    # Prune the collection down to the BeamWidth
```

```
    PathsWithTerminalBlank, PathsWithTerminalSymbol, BlankPathScore, PathScore =
        Prune(NewPathsWithTerminalBlank, NewPathsWithTerminalSymbol,
              NewBlankPathScore, NewPathScore, BeamWidth)
```

```
    # First extend paths by a blank
```

```
    NewPathsWithTerminalBlank, NewBlankPathScore = ExtendWithBlank(PathsWithTerminalBlank,
                                                                    PathsWithTerminalSymbol, y[t], t)
```

```
    # Next extend paths by a symbol
```

```
    NewPathsWithTerminalSymbol, NewPathScore = ExtendWithSymbol(PathsWithTerminalBlank,
                                                                PathsWithTerminalSymbol, SymbolSet, y[t], t)
```

```
end
```

```
# Merge identical paths differing only by the final blank
```

```
MergedPaths, FinalPathScore = MergeIdenticalPaths(NewPathsWithTerminalBlank, NewBlankPathScore,
                                                    NewPathsWithTerminalSymbol, NewPathScore)
```

```
# Pick best path
```

```
BestPath = argmax(FinalPathScore) # Find the path with the best score
```

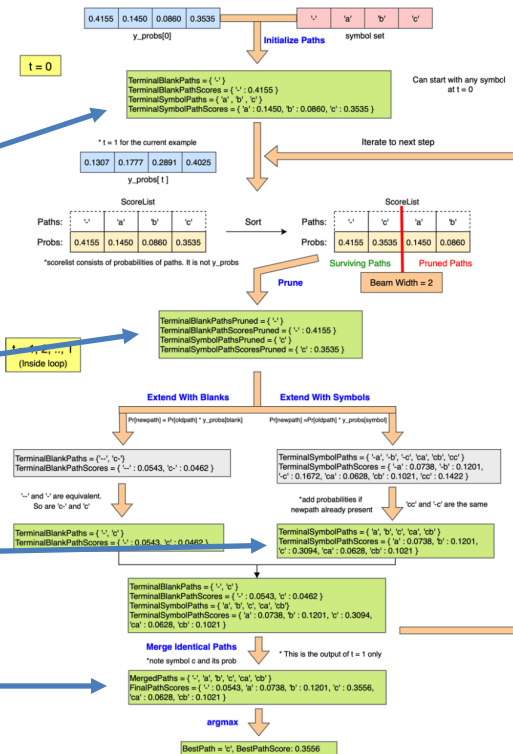


Figure 19: Beam Search

- Green boxes show the output for the 1<sup>st</sup> test case in the local autograder for just 1 time step
- You can break the flow in-between and check your answers

Thank you!  
Q & A