

Generative Aversarial Networks (Based on slides from Ben Striner)

11785 Deep Learning Fall 2024

What we've learned so far

- VAEs
- Diffusion models
- This week: GANS
- Connecting the dots

The problem





- From a large collection of images of faces, can a network learn to generate new portrait
 - Generate samples from the distribution of "face" images
 - How do we even characterize this distribution?

But first...

- Discriminative vs. Generative models
- Discriminative models learn to *discriminate*
 Determine the class given the input
 - Compute P(y|x)
- Generative models can generate
 - Produce more instances like the training data
 - Compute and/or draw from P(x,y)

Given a distribution of inputs X and labels Y.

Discriminative models

 Discriminative models learn conditional distribution P(Y | X)

Generative models

 Generative models learn the Generative models learn the joint distribution P(Y, X)

Given a distribution of inputs X and labels Y.

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- E.g. Logistic regression, SVM etc.

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- Can do both generative and discriminative tasks.
- E.g. Naïve Bayes, Gaussian Mixture Model etc.

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Harder problem, requires a deeper understanding of the distribution than discriminative models.

Explicit vs Implicit Models

Explicit distribution models

Implicit distribution models

- Calculates P(x ~ X) for all x
- Generate x ~ X

Poll 1

- What is the difference between Discriminative models vs. Generative models
 - Discriminative models model the decision boundary between classes, whereas Generative models model class distributions
 - Generative models model the decision boundary between classes, whereas
 Discriminative models model class distributions
- What is the difference between Explicit and Implicit Generative models?
 - Implicit models compute the probability of samples, whereas Explicit models only let you draw samples from the distribution
 - Explicit models compute the probability of samples, whereas Implicit models only let you draw samples from the distribution

Poll 1

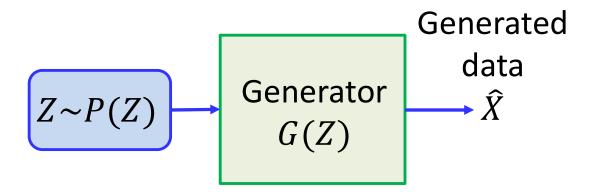
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The problem

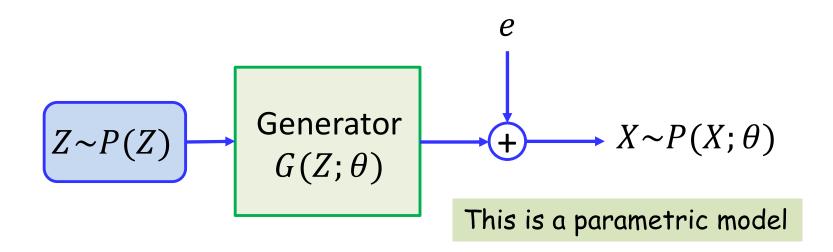




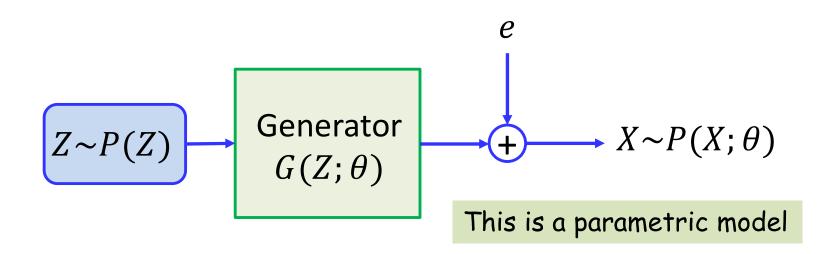
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• Generator is a decoder of a VAE

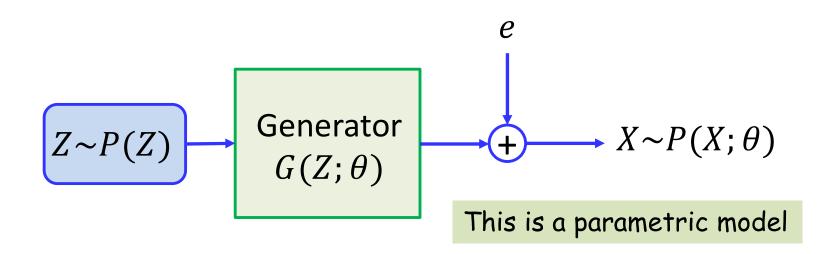


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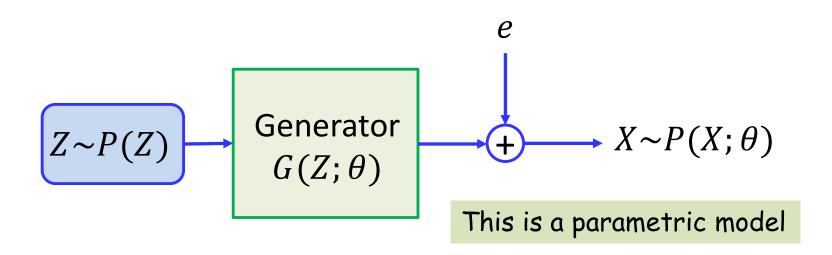
- Generator is a decoder of a VAE
- Trained by maximizing the *likelihood* of the data

$$\theta^* = \arg \max_{\theta} \log P(X; \theta)$$



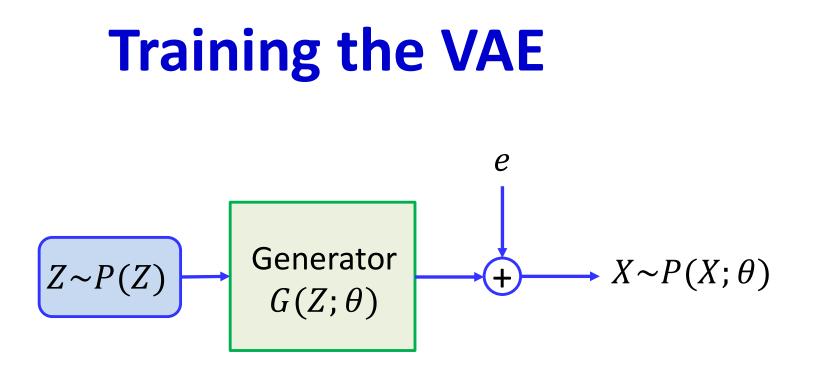
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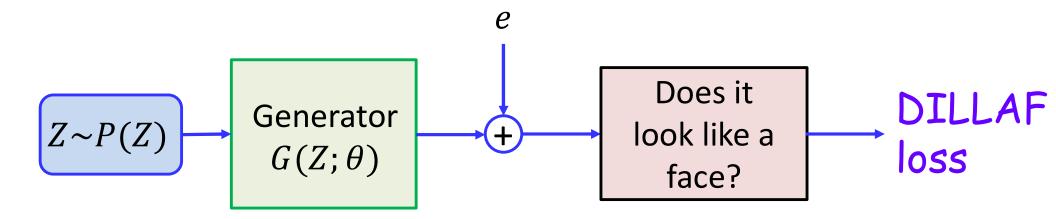
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- Generator is a decoder of a VAE
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 - Likelihood maximization does not actually relate to whether the output *actually* looks like a face
- Can we make the training criterion more direct?

Replacing negative log likelihood with a more relevant loss



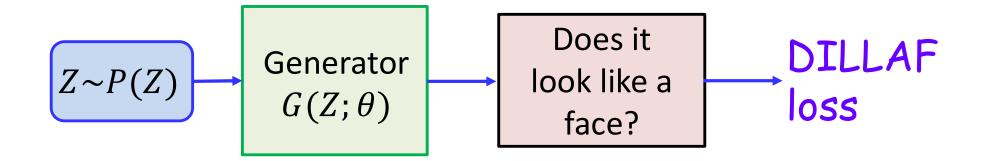
Poll 2

- VAEs are implicit Generative models, True or False
 - True
 - False
- Why would likelihood maximization not result in a model that produces more facelike outputs (for a face-generating VAE)?
 - The model can maximize the likelihood of training data without any assurance about what other (non-training) samples look like
 - The model is more likely to run into poor local optima
 - The model only captures the mode of the distribution of faces, whereas most face-like images are in the tail of the distribution
- The face-generating model is more likely to generate face-like images if it were trained with a differentiable loss function that explicitly evaluates if the outputs look like faces or note, True or False
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 - False

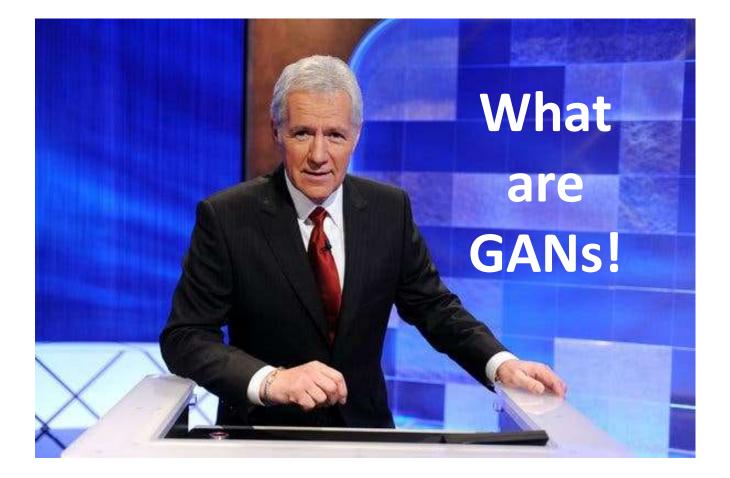
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Replacing negative log likelihood with a more relevant loss



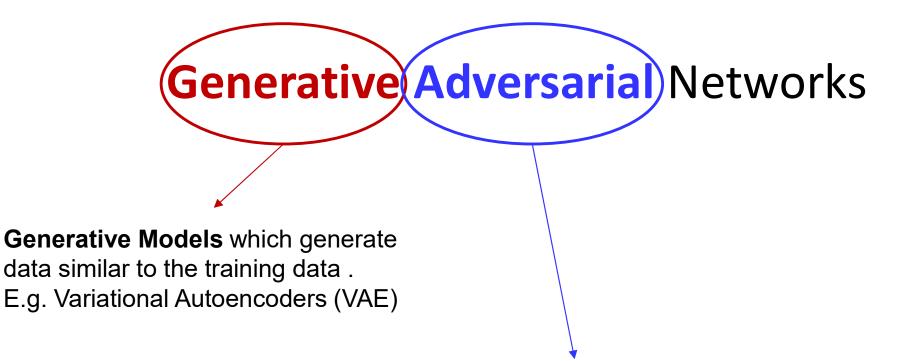
• But what is a good DILLAF loss?



Generative Adversarial Networks

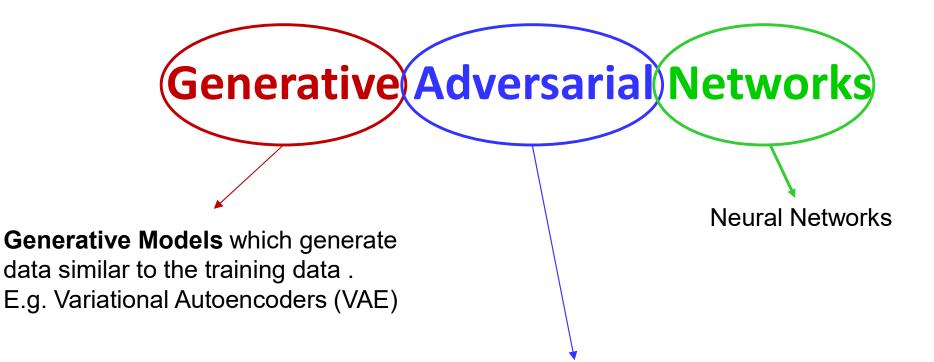


Generative Models which generate data similar to the training data . E.g. Variational Autoencoders (VAE)



Adversarial Training

GANS are made up of two competing networks (adversaries) that are trying beat each other.

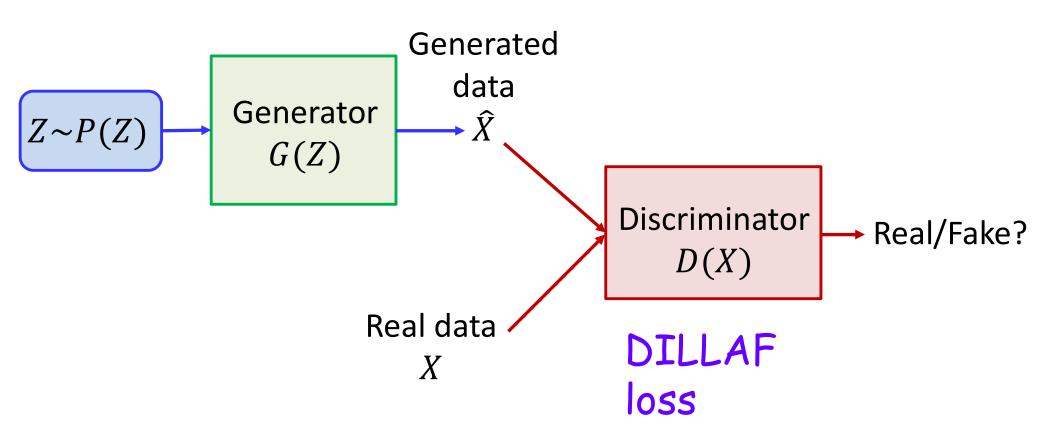


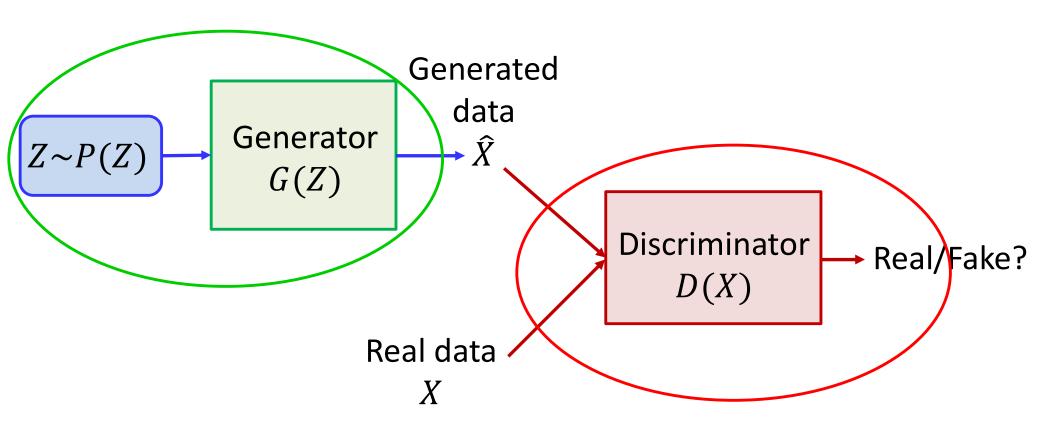
Adversarial Training

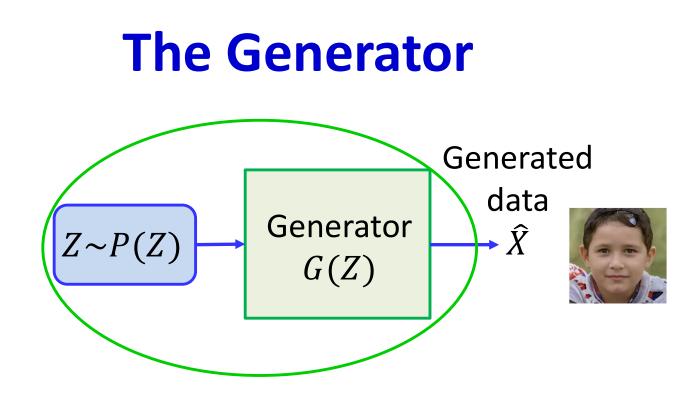
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Generative Adversarial Networks

- Introduced in 2014
- Goal is to model P(X), the distribution of training data
 - Model can generate samples from P(X)
- Trained using a pair of models acting as "adversaries"
 - A "Generator" that generates data
 - A "Discriminator" that evaluates it
 - The DILLAF loss!!

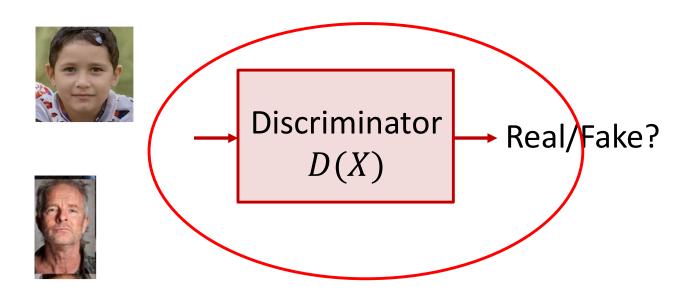






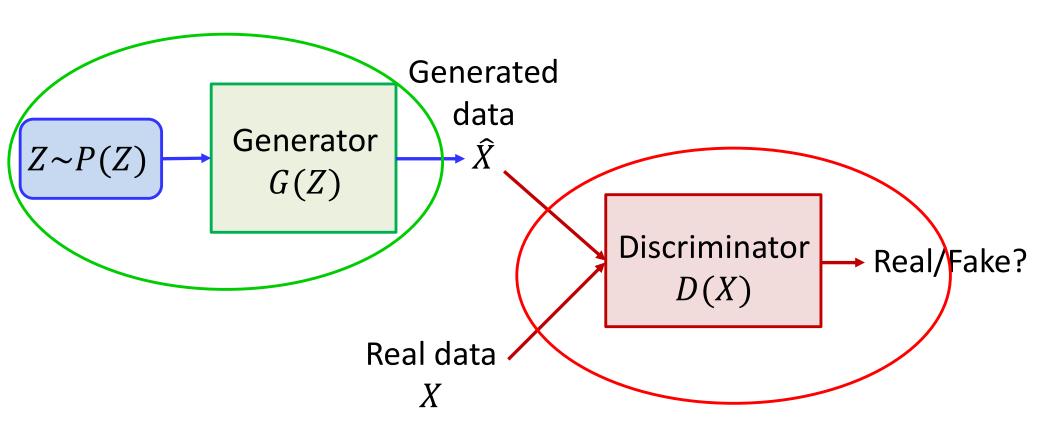
- The generator produces realistic looking X = G(z) from a latent vector Z
- Generator input Z can be sampled from a known prior, e.g. standard Gaussian
- **Goal**: generated distribution, $P_G(X)$ matches the true data distribution $P_X(X)$
 - $P_G(X)$ is the more "memorable" notation for $P_{\hat{X}}(X)$, the probability that a generated sample \hat{X} takes the value X

The Discriminator



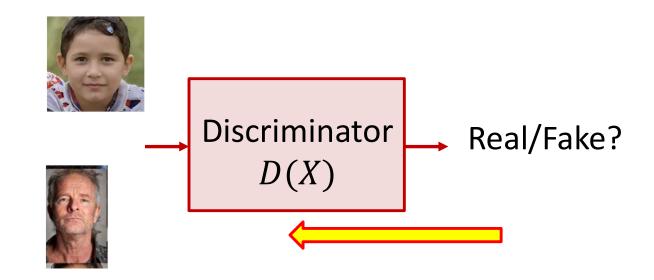
- Discriminator D(X) is trained to tell the difference between real and generated (fake) data
 - Specifically, data produced by the generator
 - If a perfect discriminator is fooled, the generated data cannot be distinguished from real data

Training a GAN



Both, the generator and discriminator must be trained

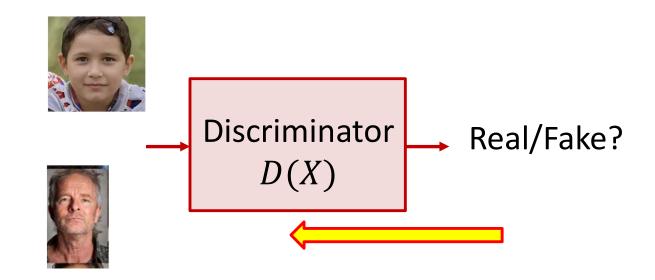
Training the discriminator



• Training the discriminator:

- The discriminator is provided training examples of real and synthetic faces
- The discriminator is trained to minimize its classification loss
 - Minimize error between actual and predicted labels
- Discriminator parameters are trained such that
 - D(X) = 1 for real faces
 - D(X) = 0 for synthetic faces (i.e 1 D(X) = 1)

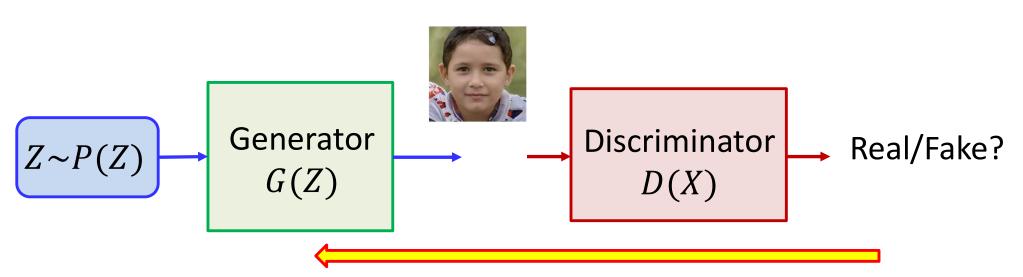
Training the discriminator



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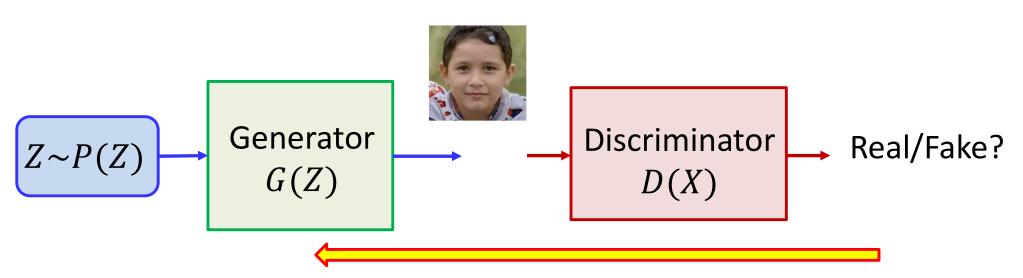
- The discriminator is provided training examples of real and synthetic faces
- The discriminator is trained to minimize its classification loss
 - Minimize error between actual and predicted labels
- Discriminator parameters are trained such that
 - Maximize $\log (D(X))$ for real faces
 - Maximize $\log (1 D(X))$ for synthetic faces

Training the generator



- Training the generator:
 - The discriminator's loss is backpropagated to the generator
 - The generator is trained to *maximize* the discriminator loss
 - It is trained to "fool" the discriminator
 - Generator parameters are trained such that
 - D(G(Z)) = 1 (i.e. 1 D(G(Z)) = 0)

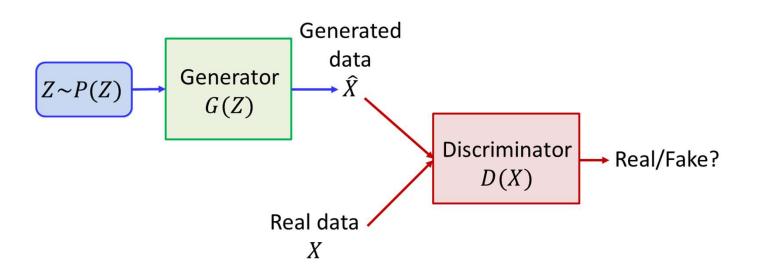
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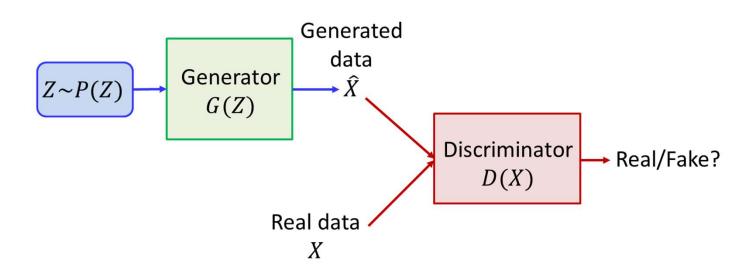
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- Generator parameters are trained such that
 - Minimize $\log(1 D(G(Z)))$

The GAN formulation



- Discriminator:
 - For real data X, Maximize $\log (D(X))$
 - For synthetic data Maximize $\log (1 D(\hat{X}))$
- Generator
 - Minimize $\log(1 D(\hat{X}))$

The GAN formulation

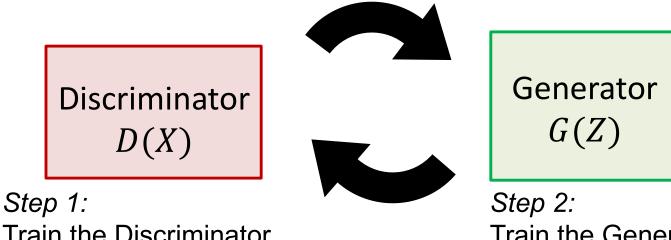


• The original GAN formulation is the following min-max optimization

$$\min_{G} \max_{D} E_X \log D(X) + E_Z \log(1 - D(G(Z)))$$

- Objective of D: D(X) = 1 and D(G(Z)) = 0
- Objective of G: D(G(Z)) = 1

How to Train a GAN?



Train the Discriminator using the current Generator

Train the Generator to beat the Discriminator

 $\min_{G} \max_{D} E_X \log D(X) + E_Z \log(1 - D(G(Z)))$ **Optimize:**

The discriminator is not needed after convergence

Poll 3

- When training a GAN, which component must you train first
 - The discriminator
 - The generator
- Which component is updated more frequently

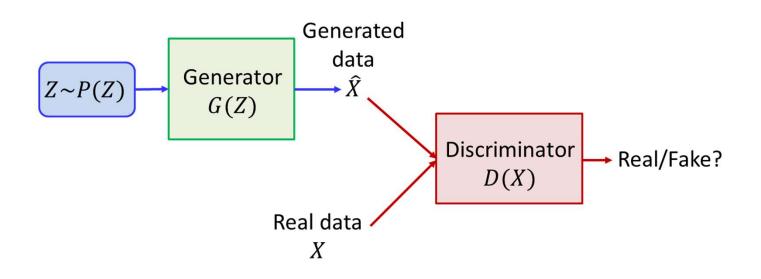
 The discriminator
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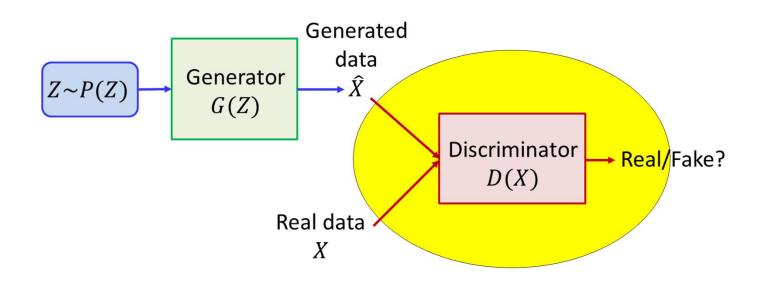
The discriminator is the (DILLAF) loss. Training the loss is more important, since the loss guides the training!

The GAN formulation



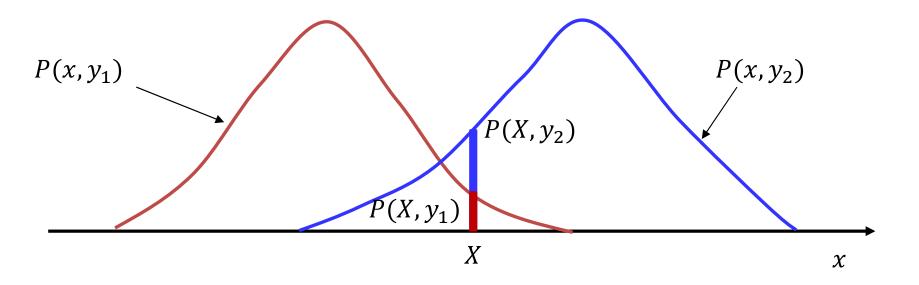
So how does this behave when each component is optimized...

The GAN formulation



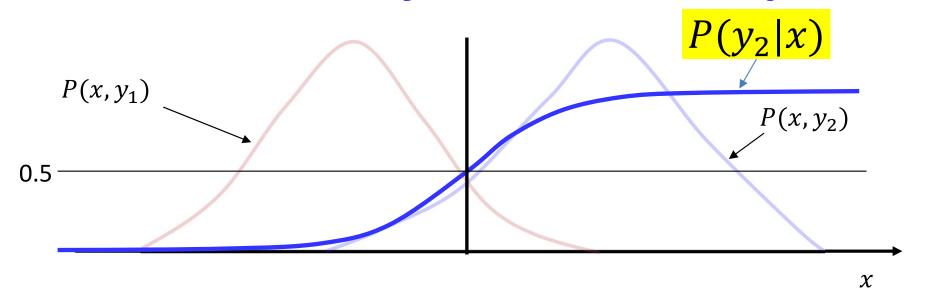
- So how does this behave when each component is optimized...
 - The optimal discriminator:

The perfect discriminator: Consider a binary classification problem



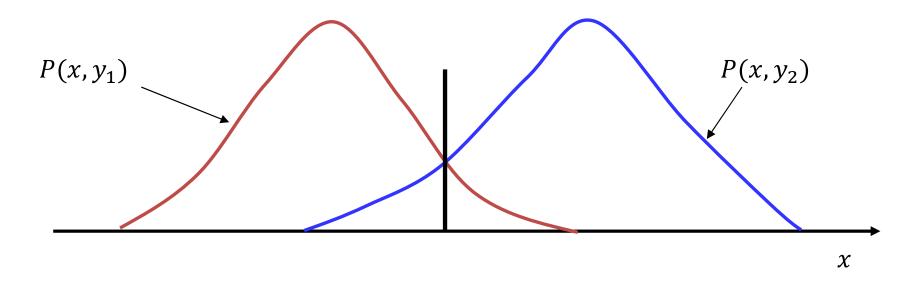
• The a posteriori probability of the classes for any instance x = X is $P(y_i|X) = \frac{P(X, y_i)}{P(X, y_1) + P(X, y_2)}$

The perfect discriminator: Consider a binary classification problem



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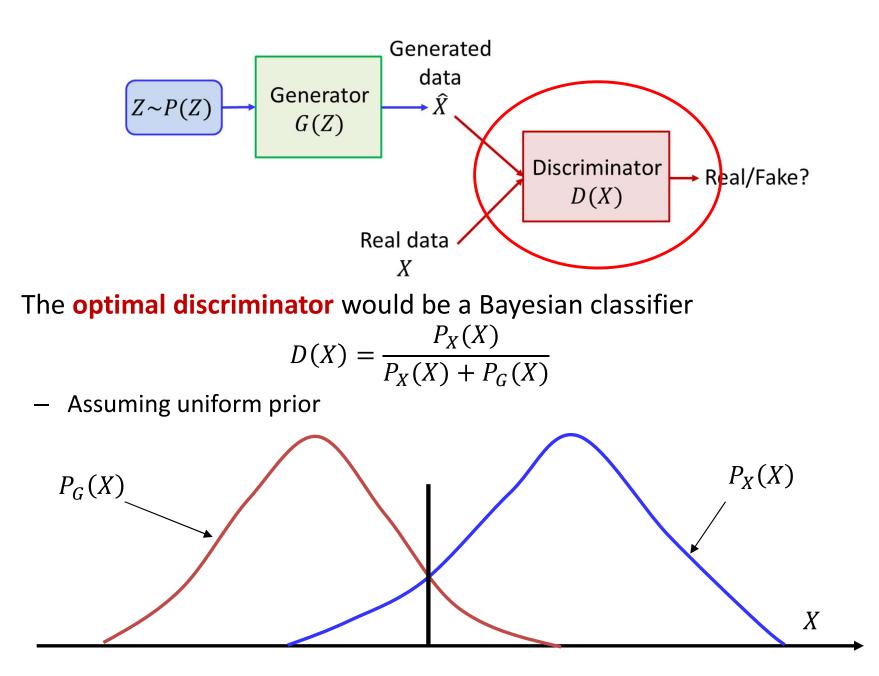


• The a posteriori probability of the classes for any instance x = X is

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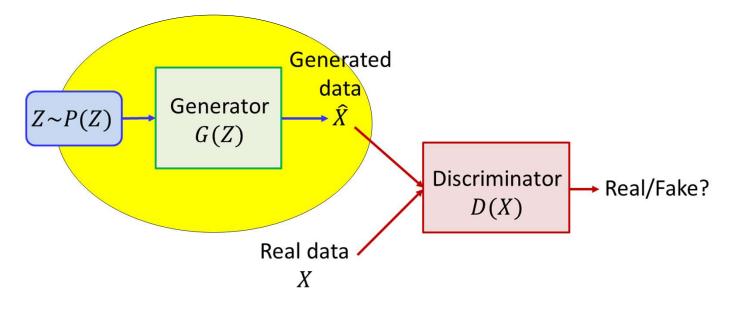
- The perfect decision boundary is where $P(y_1|X) = P(y_2|X)$
 - The perfect discriminator will compute $P(y_i|X)$ for each class
 - It will assign any X to the class with the higher $P(y_i|X)$

The optimal discriminator



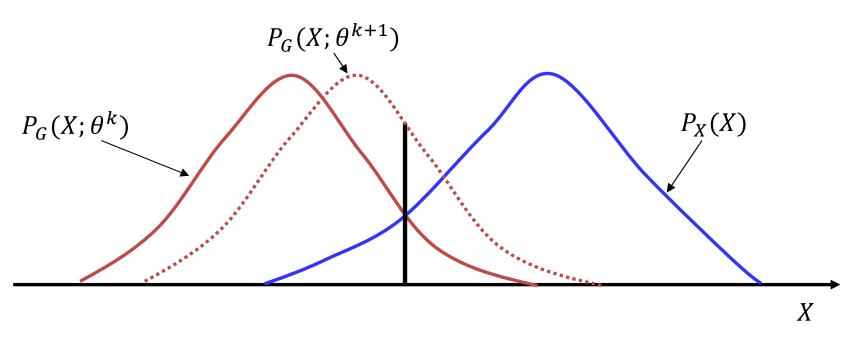
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The GAN formulation

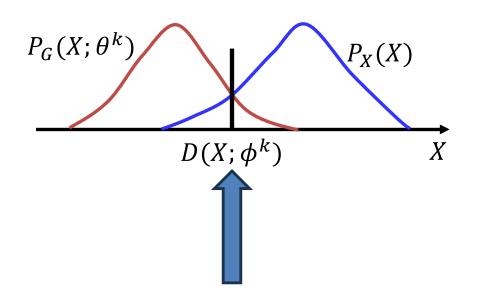


So how does this behave when each component is optimized...

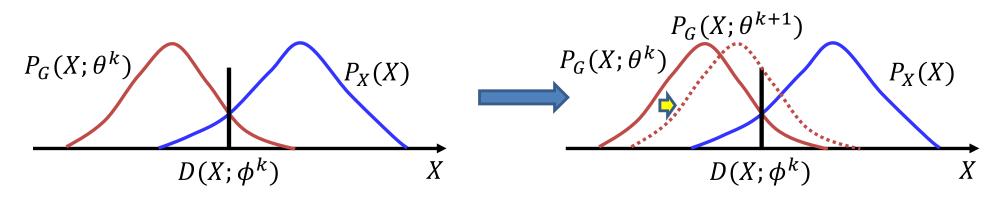
Updating the Generator: Fooling the perfect discriminator



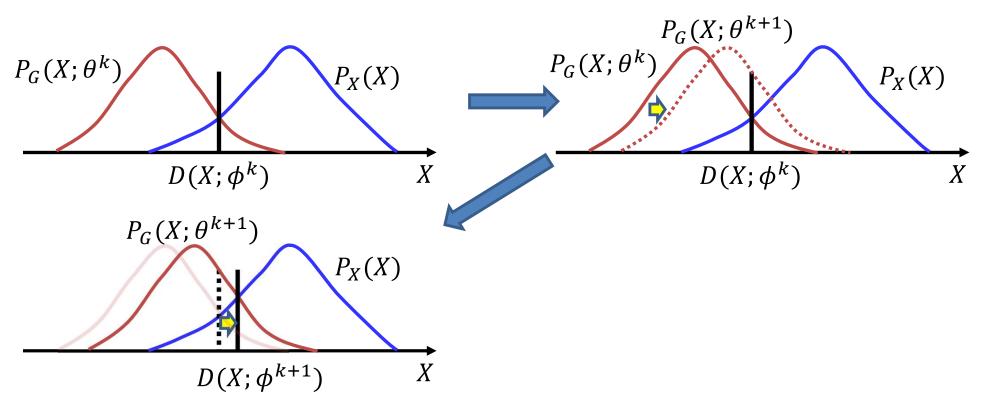
- Relearn generator parameters so that the new distribution of generated data "fools" the discriminator
 - By moving it into the region assigned to the other class by the (perfect) discriminator



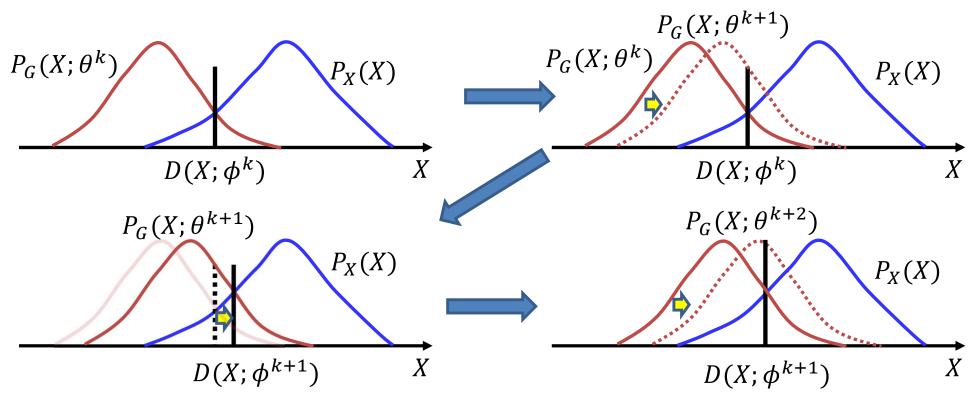
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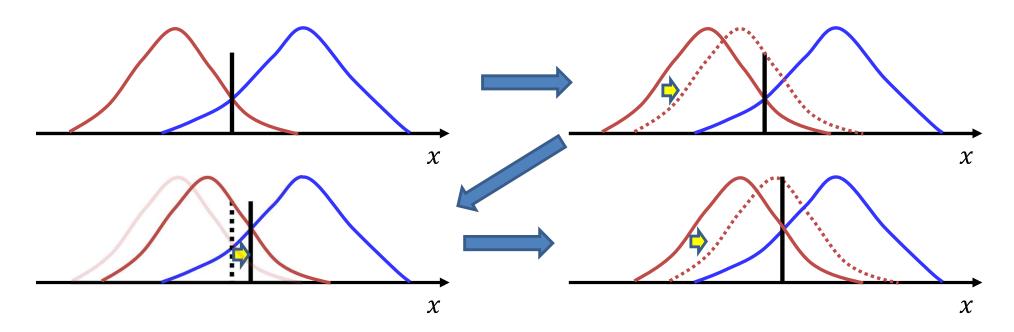
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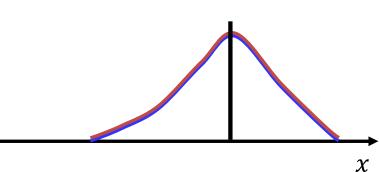
- Discriminator learns perfect boundary
- Generator moves its distribution past the boundary "into" the real distribution
- Discriminator relearns new "perfect" boundary



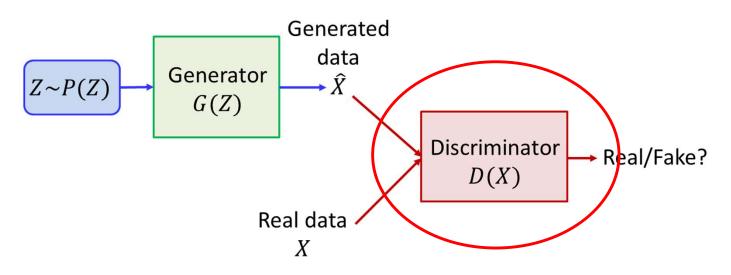
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- Discriminator relearns new "perfect" boundary
- Generator shifts distribution past new boundary



- Discriminator learns perfect boundary
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- Generator shifts distribution past new boundary
- •
- In the limit Generator's distribution sits perfectly on "real" distribution and the perfect discriminator is still random



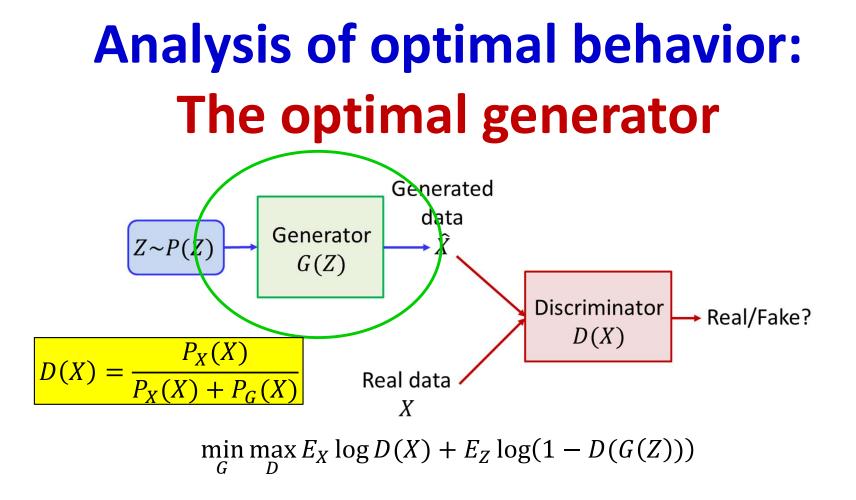
Analysis of optimal behavior: The optimal discriminator

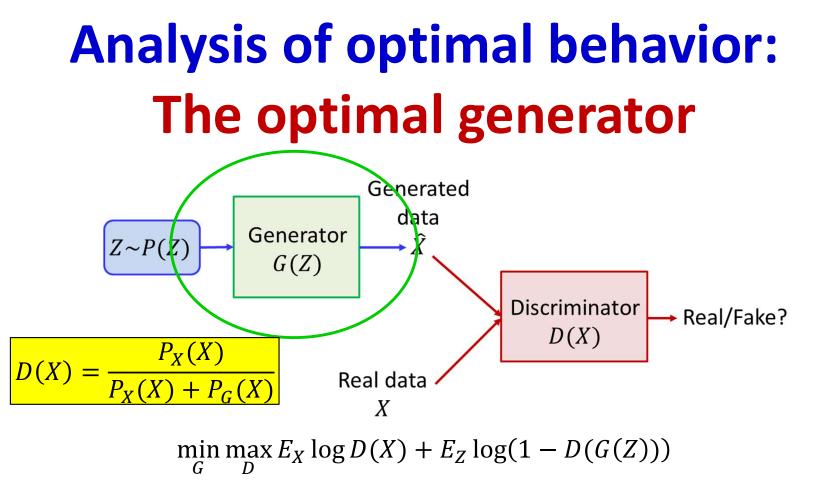


 The optimal discriminator would be a Bayesian classifier

$$D(X) = \frac{P_X(X)}{P_X(X) + P_G(X)}$$

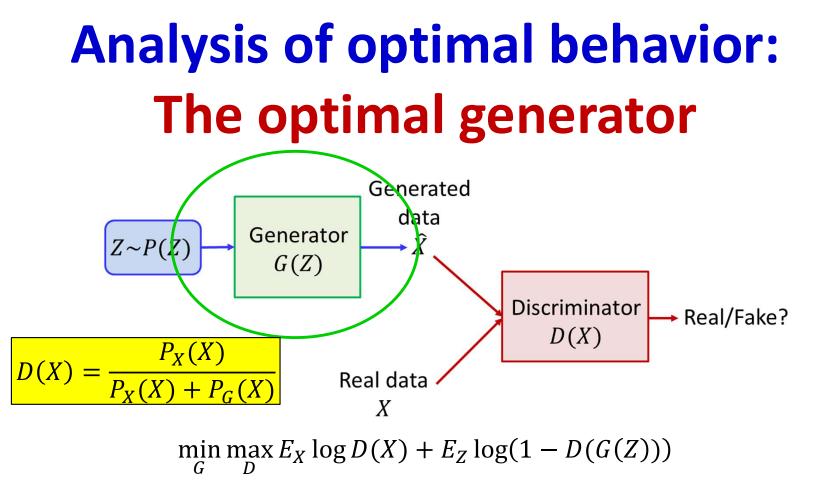
Assuming uniform prior





• With a perfect discriminator:

$$L = E_{X \sim P_X(X)} \log D(X) + E_{X \sim P_G(X)} \log(1 - D(X))$$



• With a perfect discriminator:

$$L = E_{X \sim P_X(X)} \log D(X) + E_{X \sim P_G(X)} \log(1 - D(X))$$

= $E_{X \sim P_X(X)} \log \left(\frac{P_X(X)}{P_X(X) + P_G(X)}\right) + E_{X \sim P_G(X)} \log \left(\frac{P_G(X)}{P_X(X) + P_G(X)}\right)$

The KL Divergence

$$KL(P,Q) = \sum_{X} P(X) \log(P(X)/Q(X))$$

• What are the problems with this?

$$KL(Q,P) = \sum_{X} Q(X) \log(Q(X)/P(X))$$

• What are the problems with this?

The KL Divergence

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• What are the problems with this?

KL is not symmetric, and runs into issues if either P or Q become 0 (whichever is inside the log)

The Jensen Shannon Divergence

JSD(P,Q)

- = 0.5 KL(P, 0.5(P+Q)) + 0.5KL(Q, 0.5(P+Q))
- If the term inside the log is 0, both P and Q are
 0

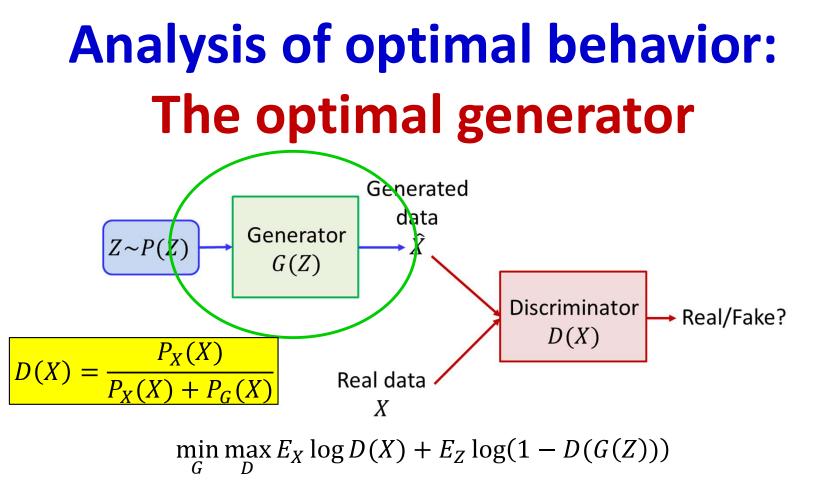
 $-0 \log 0 = 0$, so there are no problems

• Also, this is symmetric: JSD(P,Q) = JSD(Q,P)

The Jensen Shannon Divergence

JSD(P,Q) = 0.5 KL(P, 0.5(P+Q)) + 0.5KL(Q, 0.5(P+Q))

- A symmetric variant of KL that does not exaggerate instances to which one of the distributions assigns 0 probability
 - $-KL(P,Q) = \sum_{X} P(X) \log(P(X)/Q(X))$ blows up the contributions of X with Q(X) = 0



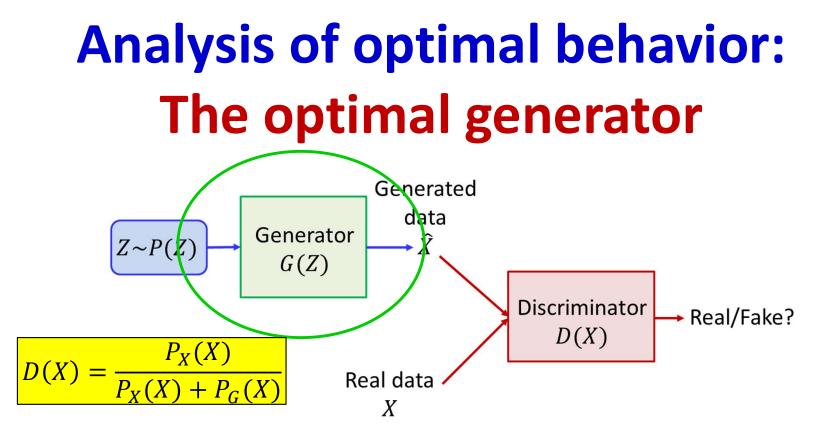
• With a perfect discriminator:

$$L = E_{X \sim P_X(X)} \log D(X) + E_{X \sim P_G(X)} \log(1 - D(X))$$

= $E_{X \sim P_X(X)} \log \left(\frac{P_X(X)}{P_X(X) + P_G(X)}\right) + E_{X \sim P_G(X)} \log \left(\frac{P_G(X)}{P_X(X) + P_G(X)}\right)$

• This is just the Jensen-Shannon divergence between $P_X(X)$ and $P_G(X)$ to within a scaling factor and a constant

$$L = 2JSD(P_X(X), P_D(X)) - \log 4$$
⁶⁵

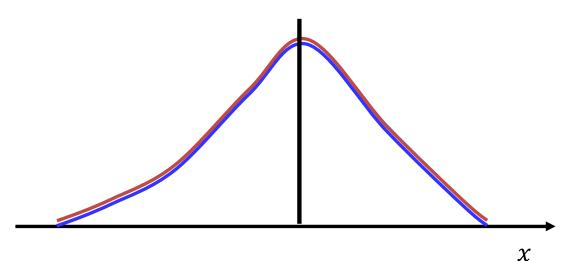


• The optimal generator:

$$\min_{G} 2JSD(P_X(X), P_G(X)) - \log 4$$

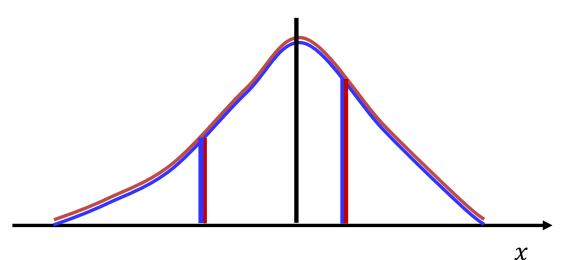
- The optimal generator minimizes the Jensen Shannon divergence between the distributions of the actual and synthetic data!
 - Tries to make the two distributions maximally similar

The optimal generator with the optimal discriminator



• The generator of the fully optimized GAN will generate $P_G(X) = P_X(X)$, i.e. the distribution of the generated data will be identical to that of the original data

The optimal generator with the optimal discriminator

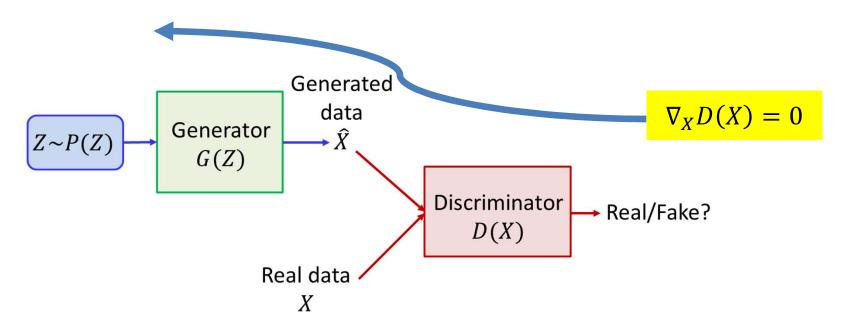


- The generator of the fully optimized GAN will generate $P_G(X) = P_X(X)$, i.e. the distribution of the generated data will be identical to that of the original data
- At any X, $P_G(X) = P_X(X)$

- I.e.
$$D(X) = \frac{P_X(X)}{P_X(X) + P_G(X)} = 0.5$$

- The derivative of D(X) w.r.t X = 0

The optimal generator with the optimal discriminator



- $\nabla_X D(X) = 0$
- All derivatives going backward are 0
- There will be no further updates

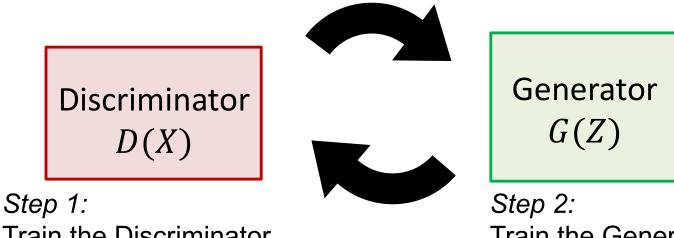
Min-Max Stationary Point

- There exists a stationary point:
 - If the generated data exactly matches the real data, the discriminator outputs 0.5 for all inputs
 - If discriminator outputs 0.5, the gradients for the generator is flat, so generator does not learn
 - Unfortunately, this is also true of a random discriminator
- Stationary points need not be stable (depends on the exact GANs formulation and other factors)
 - Generator may overshoot some values or oscillate around the optimum
 - A discriminator with unlimited capacity can still assign an arbitrarily large distance to 2 similar distributions

Min-Max Optimization

- Generator and the discriminator need to be trained simultaneously
 - If discriminator is undertrained, it provides sub-optimal feedback to the generator
 - If the discriminator is overtrained, there is no local feedback for marginal improvements

How to Train a GAN?



Train the Discriminator using the current Generator Train the Generator to beat the Discriminator

Optimize: $\min_{G} \max_{D} E_X \log D(X) + E_Z \log(1 - D(G(Z)))$

The discriminator is not needed after convergence

Features and Challenges

- GANs can produce clear crisp results for many problems
- But they also have stability issues and are hard to train
 - Problems such as "mode collapse" are frequent
 - Producing outputs with very low variability

Poll 4

- Identify potential reasons a GAN could fail
 - Generator always generates the same face that fools the discriminator
 - The JSD may have poor derivatives preventing the model from learning
 - The discriminator may be random resulting in no derivatives
 - The discriminator may be too certain, resulting in no derivatives

Poll 4

- Identify potential reasons a GAN could fail
 - Generator always generates the same face that fools the discriminator
 - The JSD may have poor derivatives preventing the model from learning
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 - The discriminator may be too certain, resulting in no derivatives

Variants and updates

- A number of variations have been proposed to improve the stability and outputs of GANs
 - LAPGAN
 - Wasserstein GAN
 - C-GAN
 - DCGAN
 - CycleGAN
 - StarGAN

. . .

Evaluate with Discriminative Network

- Inception Score
 - Use the Inception V3 image classifier to classify generated images
 - Inception should produce a variety of labels
 - As measured by the entropy of the average label distribution
 - Each label should have high confidence (low entropy)
 - As measured by the average entropy of the Inception outputs for individual instances
 - The two scores are combined into a single "inception" score

VAEs vs GANs

VAEs

- Minimizing the KL divergence between distributions of synthetic and true data
- Uses an encoder to predict latent distributions to optimize generator
- More complex formulation
- Simpler optimization. Trains faster and more reliably
- Results are blurry

GANs

- Minimizing the Jenson-Shannon divergence between distributions of synthetic and true data
- Use a discriminator to optimize generator
- Simpler formulation
- Noisy and difficult optimization
- Sharper results

Original paper (GAN, 2014)

Output of original GAN paper, 2014 [GPM+14]



c)

d)

GANs with time

- Better quality
- High Resolution



https://twitter.com/goodfellow_ian/status/1084973596236144640?lang=en

StarGAN(2018)

Manipulating Celebrity Faces [CCK⁺17]

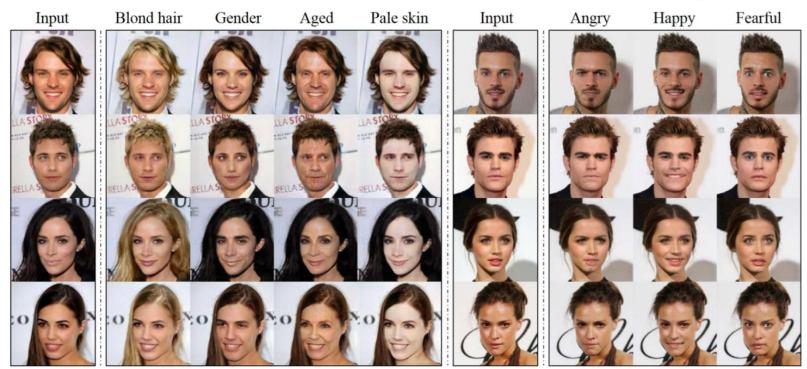


Figure 1. Multi-domain image-to-image translation results on the CelebA dataset via transferring knowledge learned from the RaFD dataset. The first and sixth columns show input images while the remaining columns are images generated by StarGAN. Note that the images are generated by a single generator network, and facial expression labels such as angry, happy, and fearful are from RaFD, not CelebA.

Progressive growing of GANs (2018)



Figure 5: 1024×1024 images generated using the CELEBA-HQ dataset. See Appendix F for a larger set of results, and the accompanying video for latent space interpolations.

High fidelity natural images (2019)

Generating High-Quality Images [BDS18]



Next – Hao Chen

• Addressing many of the shortcomings of GANs

• Different types of GANs

• GAN applications

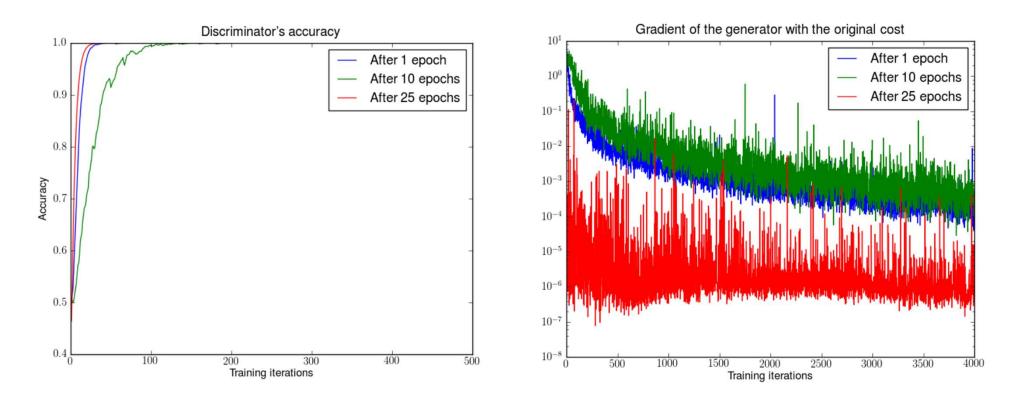
Improvement and Application of GANs

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Problems of Vanilla GANs

- Vanishing gradients: the discriminator becomes too "strong", and thus the gradient of the generation vanishes
- Mode collapse: the generator distribution collapses to a small set of samples

Gradient Vanishing of JS-Div



- The "strong" discriminator reaches 100% accuracy quickly
- The gradient would be almost zero everywhere
- Generator receives quickly decayed gradients from D.

Mode Collapse in Generator Image: Step 0 Step 10k Step 10k Step 10k Step 10k Step 10k

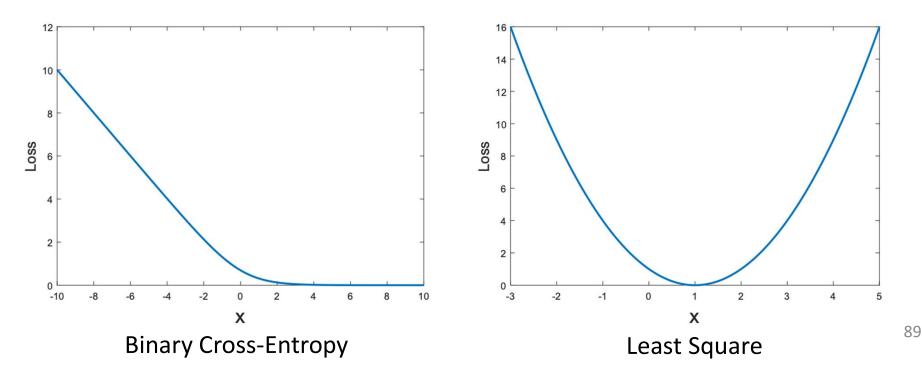
- For different z, the generator produce similar output
- The generator learns to fool the discriminator perfectly by only capturing a subset of the data

Least Square GANs

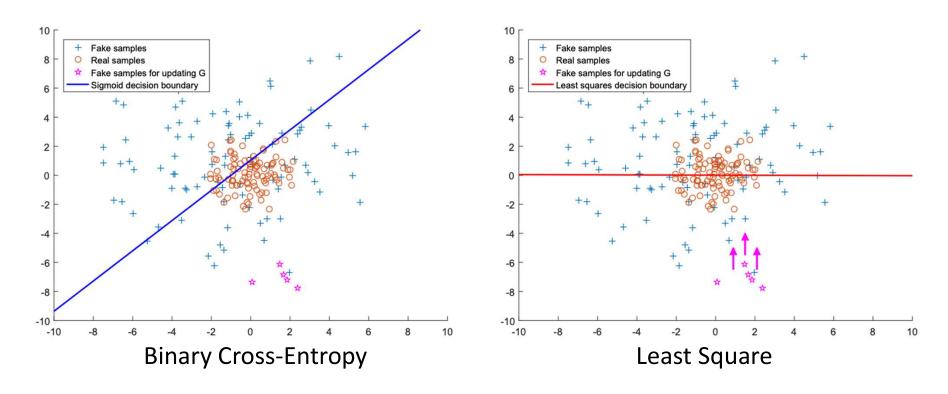
• Using least square loss instead of binary cross-entropy

$$egin{aligned} &\min_D V_{ ext{LSGAN}}(D) = rac{1}{2} \mathbb{E}_{oldsymbol{x} \sim p_{ ext{data}}(oldsymbol{x})} \left[(D(oldsymbol{x}) - 1)^2
ight] + rac{1}{2} \mathbb{E}_{oldsymbol{z} \sim p_{oldsymbol{z}}(oldsymbol{z})} \left[(D(G(oldsymbol{z}))^2
ight] \ &\min_G V_{ ext{LSGAN}}(G) = rac{1}{2} \mathbb{E}_{oldsymbol{z} \sim p_{oldsymbol{z}}(oldsymbol{z})} \left[(D(G(oldsymbol{z})) - 1)^2
ight]. \end{aligned}$$

• Better gradient for generator and more stable training

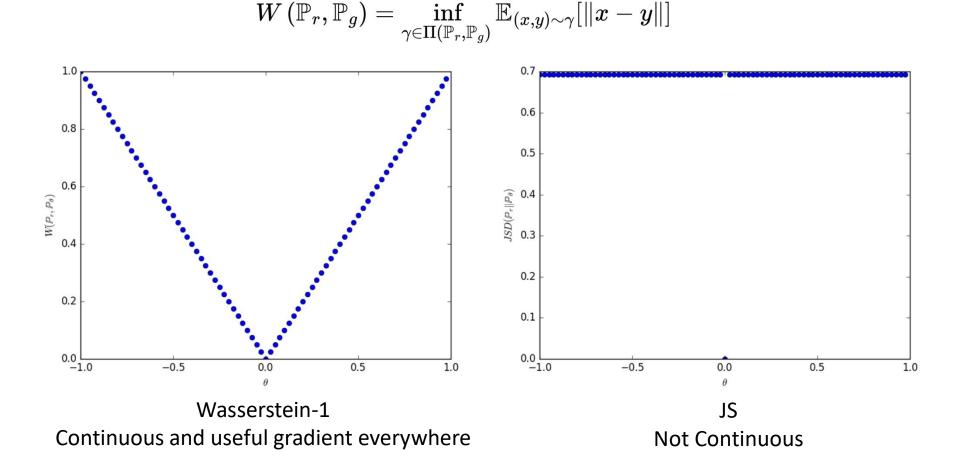


Least Square GANs



- BCE: once fake samples fool discriminator, gradient becomes small
- Least Square: gradient of fake samples in square relationship according to their distance with the decision boundary
- Pearson \mathcal{X}^2 divergence instead of JS divergence

• Uses Earth-mover (Wasserstein-1) distance to measure the distance between two distributions



$$\min_{G} \max_{D \in \mathcal{D}} \mathop{\mathbb{E}}_{oldsymbol{x} \sim \mathbb{P}_r} [D(oldsymbol{x})] - \mathop{\mathbb{E}}_{ ildsymbol{ ilde{x}} \sim \mathbb{P}_g} [D(ilde{oldsymbol{x}}))]$$

- Derived under the assumption of 1-Lipschitz discriminator
- How to enforce that?

$$\min_{G} \max_{D \in \mathcal{D}} \mathop{\mathbb{E}}_{oldsymbol{x} \sim \mathbb{P}_r} [D(oldsymbol{x})] - \mathop{\mathbb{E}}_{ ildsymbol{ ilde{x}} \sim \mathbb{P}_g} [D(ilde{oldsymbol{x}}))]$$

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- Weight Clipping $w \leftarrow \operatorname{clip}(w, -c, c)$

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- Derived under the assumption of 1-Lipschitz discriminator
- How to enforce that?
- Weight Clipping $w \leftarrow \operatorname{clip}(w, -c, c)$ Unstable!
- Gradient Penalty $\lambda_{\hat{\boldsymbol{x}} \sim \mathbb{P}_{\hat{\boldsymbol{x}}}} \Big[\big(\| \nabla_{\hat{\boldsymbol{x}}} D(\hat{\boldsymbol{x}}) \|_2 1 \big)^2 \Big].$

$$\min_{G} \max_{D \in \mathcal{D}} \mathop{\mathbb{E}}_{oldsymbol{x} \sim \mathbb{P}_r} [D(oldsymbol{x})] - \mathop{\mathbb{E}}_{ ildsymbol{ ilde{x}} \sim \mathbb{P}_g} [D(ilde{oldsymbol{x}}))]$$

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- Spectral Normalization

$$egin{aligned} \sigma(A) &:= \max_{m{h}:m{h}
eq m{0}} rac{\|Am{h}\|_2}{\|m{h}\|_2} = \max_{\|m{h}\|_2 \leq 1} \|Am{h}\|_2 \ ar{W}_{ ext{SN}}(W) &:= W/\sigma(W) \end{aligned}$$

f-GANs

- GAN loss functions = How to measure the divergence between two distributions
- F-divergence

$$D_f(P\|Q) = \int_{\mathcal{X}} q(x) f\left(rac{p(x)}{q(x)}
ight) \mathrm{d}x$$

• Summary

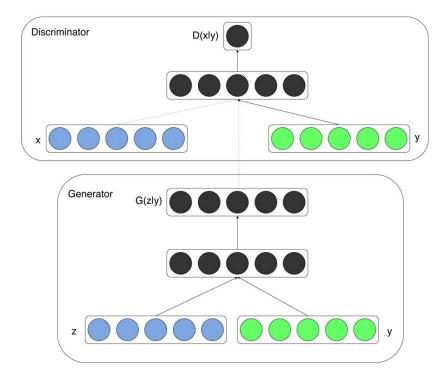
Name	$D_f(P\ Q)$	Generator $f(u)$	$T^*(x)$
Kullback-Leibler	$\int p(x)\lograc{p(x)}{q(x)}\mathrm{d}x$	$u\log u$	$1 + \log \frac{p(x)}{q(x)}$
Reverse KL	$\int q(x)\lograc{q(x)}{p(x)}\mathrm{d}x$	$-\log u$	$-rac{q(x)}{p(x)}$
Pearson χ^2	$\int rac{(q(x)-p(\hat{x}))^2}{p(x)} \mathrm{d}x$	$(u-1)^2$	$2(rac{p(x)}{q(x)}-1)$
Squared Hellinger	$\int \left(\sqrt{p(x)} - \sqrt{q(x)}\right)^2 \mathrm{d}x$	$\left(\sqrt{u}-1 ight)^2$	$(\sqrt{rac{p(x)}{q(x)}}-1)\cdot\sqrt{rac{q(x)}{p(x)}}$
Jensen-Shannon	$rac{1}{2}\int p(x)\lograc{2p(x)}{p(x)+q(x)}+q(x)\lograc{2q(x)}{p(x)+q(x)}\mathrm{d}x$	$-(u+1)\log\tfrac{1+u}{2} + u\log u$	$\log \frac{2p(x)}{p(x)+q(x)}$
GAN	$\int p(x) \log rac{2p(x)}{p(x)+q(x)} + q(x) \log rac{2q(x)}{p(x)+q(x)} \mathrm{d}x - \log(4)$	$u\log u - (u+1)\log(u+1)$	$\log rac{p(x)}{p(x)+q(x)}$

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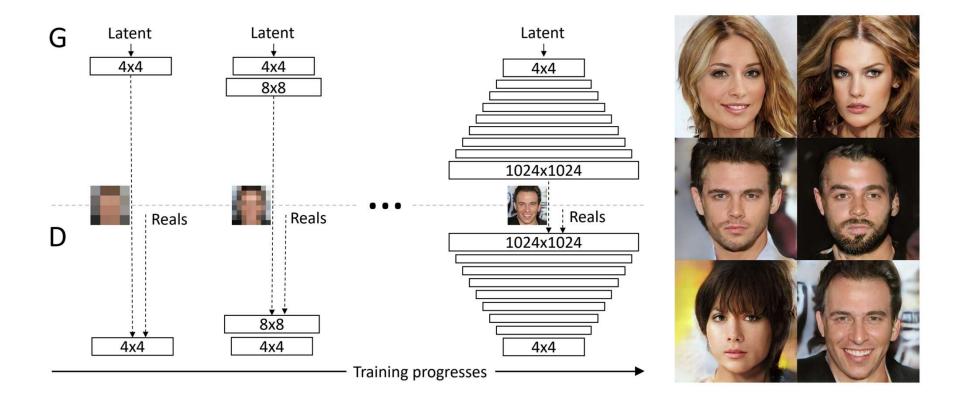
Conditional GANs

- Generator is conditioning on y
- Discriminator predicts both
 - Real vs Fake
 - Class of the images



Progressive GANs

- Grow both generator and discriminator progressively
 - Speed up and stabilize training
 - High-resolution image generation

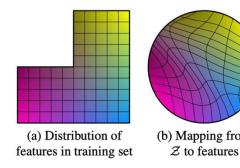


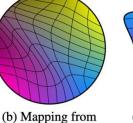
StyleGAN

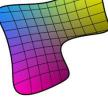
AdalN •

$$ext{AdaIN}\left(\mathbf{x}_{i},\mathbf{y}
ight) = \mathbf{y}_{s,i} rac{\mathbf{x}_{i}-\mu\left(\mathbf{x}_{i}
ight)}{\sigma\left(\mathbf{x}_{i}
ight)} + \mathbf{y}_{b,i}$$

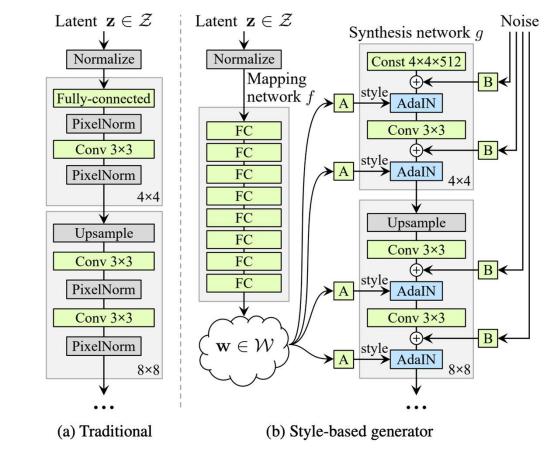
A better latent space



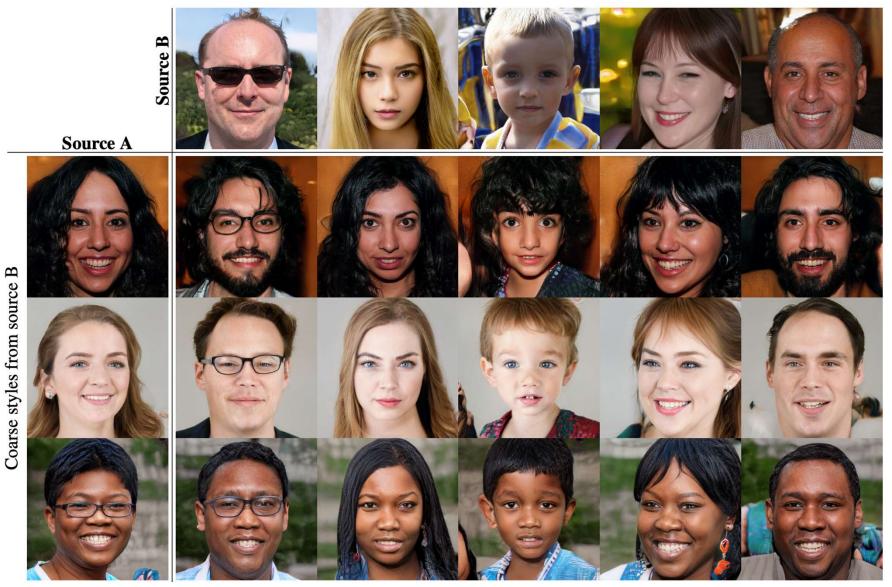




(c) Mapping from \mathcal{W} to features



StyleGAN

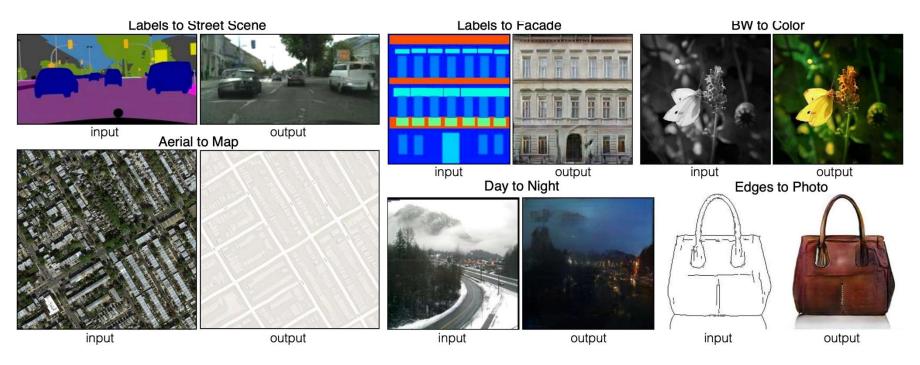


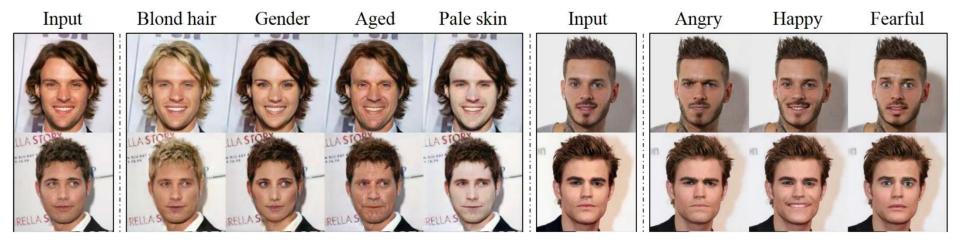
101

Improvement and Application of GANs

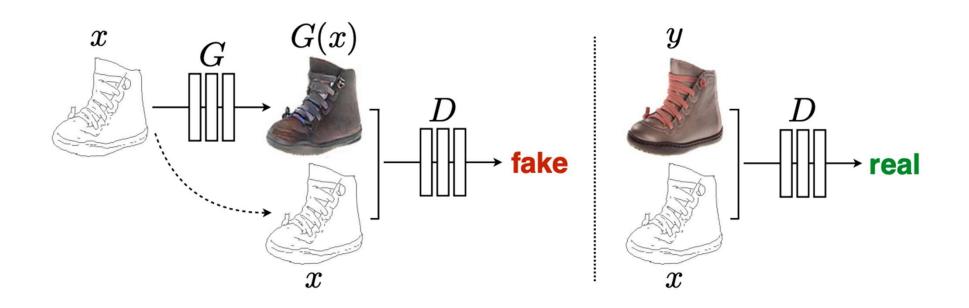
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Image-to-Image Translation





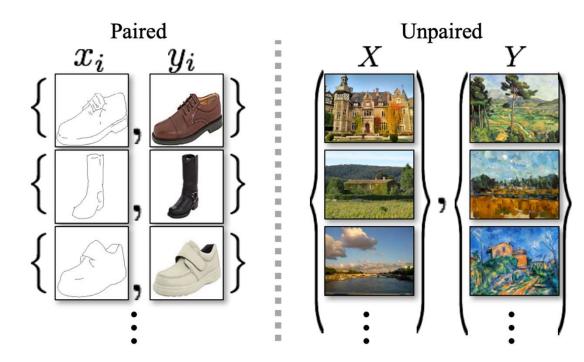
Pix2Pix



- A conditional GAN, but the condition is source-domain
- PatchGAN discriminator
 - Instead of predicting single real/fake classification
 - Predicting real/fake at feature elements from feature maps

CycleGAN

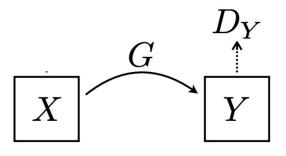
- Problem of Pix2Pix:
 - Need paired source-target data
 - Sometimes we don't have such data



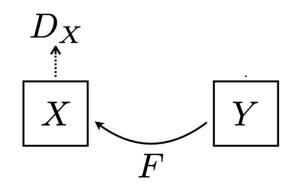
• How can we train image-to-image translation GANs without paired data?

CycleGAN

• Forward translation X -> Y, need paired data to train

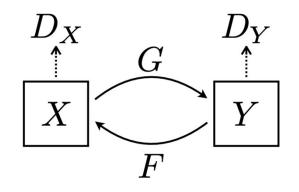


• Backward translation Y -> X, need paired data to train

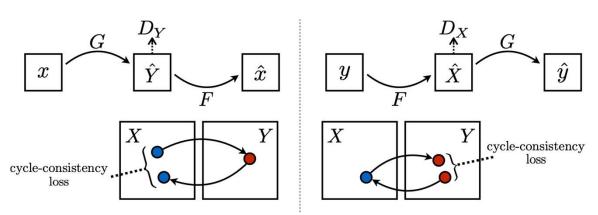


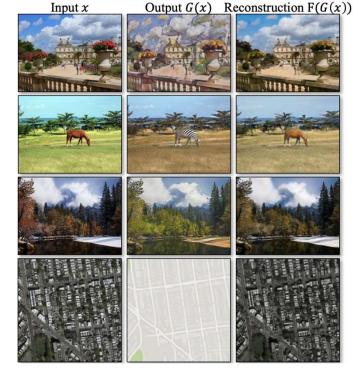
CycleGAN

• Bi-directional translation: forward and then backward



• Enforcing cycle consistency



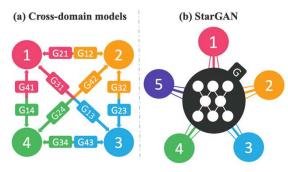


StarGAN

- CycleGAN was designed for two domains
- What if we have multiple domains?

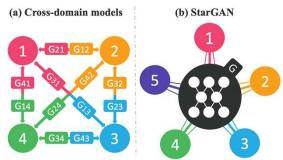
StarGAN

- CycleGAN was designed for two domains
- What if we have multiple domains?
 - Have generators and discriminators for each domain

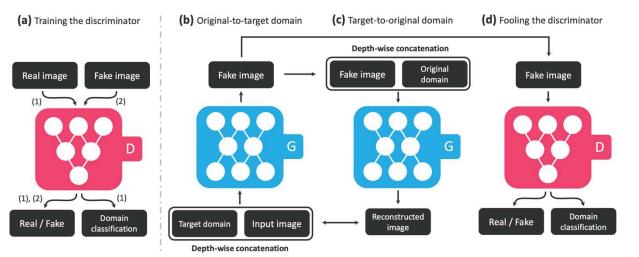


StarGAN

- CycleGAN was designed for two domains
- What if we have multiple domains?
 - Have generators and discriminators for each domain



During training, sample two domains and do cycleGAN!

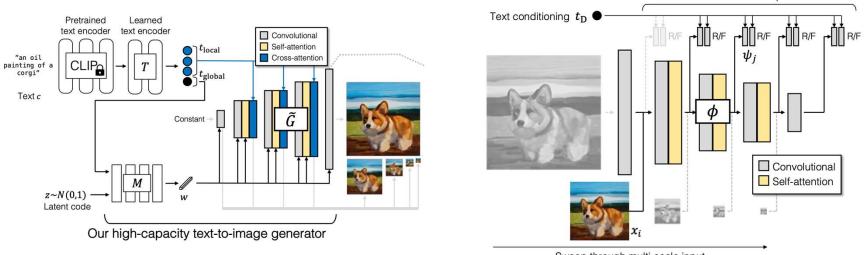


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Text-to-Image GANs

Generator and Discriminator design



Sweep through multi-scale input

Multi-scale output